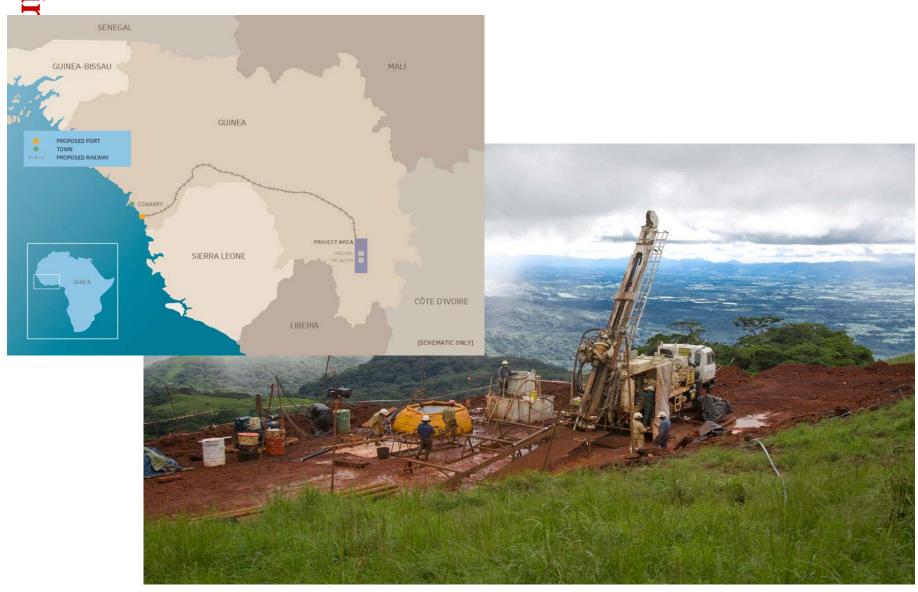
## Simandou

Resettlement and Compensation Process

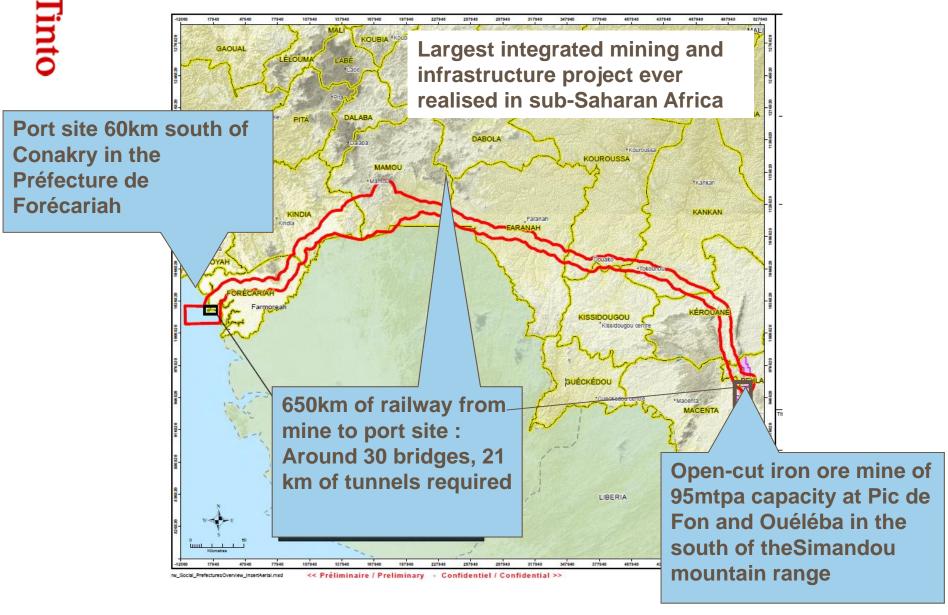
Presentation IAIA Conference October 2014



## Simandou- Guinea

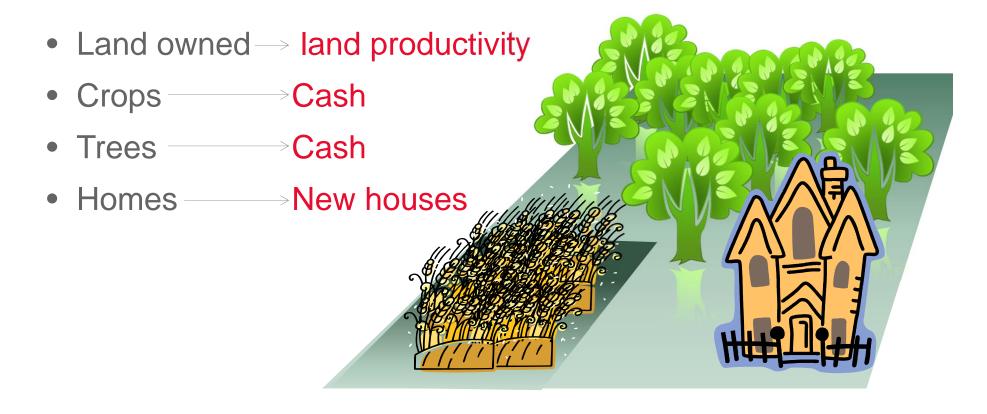


## The Simandou Project



| Project Area | Physical Displacement | Economic<br>Displacement |  |  |  |
|--------------|-----------------------|--------------------------|--|--|--|
| Port         | 36                    | 376                      |  |  |  |
| Rail         | 239                   | 3,620                    |  |  |  |
| Camps        | 0                     | 370                      |  |  |  |
| Mine         | 68                    | 713                      |  |  |  |
| Quarries     | 0                     | 334                      |  |  |  |
| Roads        | 0                     | 107                      |  |  |  |
| Total        | 343 households        | 5,520 households         |  |  |  |

## What is being compensated, and how?



Total area to be compensated: 28,000 Ha (280 km²)



## Impacted households in Port



## Resettlement in Port

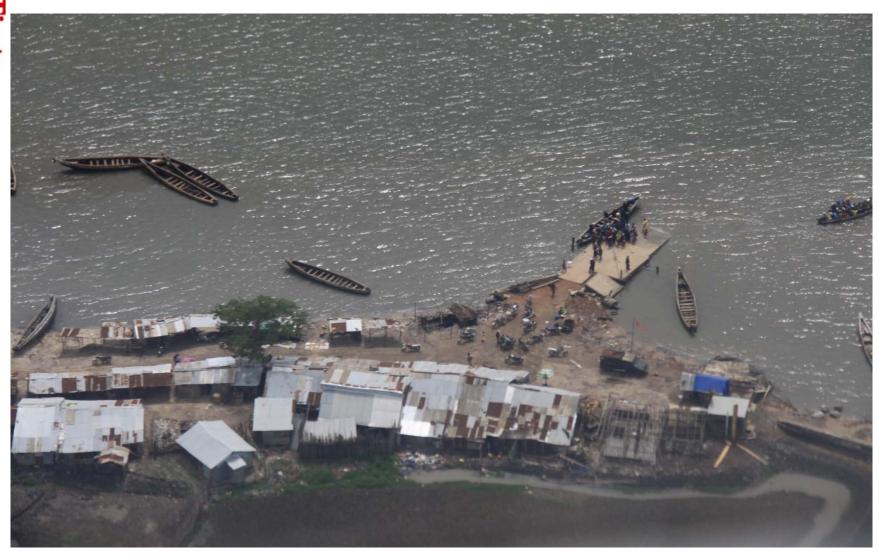


## Livelihoods in Port



## $Fishing-Port\ area$





## Resettlement – Livelihoods in Port



## Resettlement – Livelihoods in Port





## **Engagement with Affected Communities**



## Engagement with Affected Communities Imandou

|   | Engagement with GoG  | Studies and Surveys   | Commissions Foncieres  | Community Engagement  | Follow-on                              |
|---|--|---|--|---|--|
| • | Committee:  Development of R&C (PARC)  Framework,  compensation  matrix, | surveys, baseline, anthropological and cultural heritage studies undertaken | Per the Code, each prefecture establishes a separate Land Commission Land Commissions are trained by Rio Tinto Land Commissions are primarily responsible for the R&C engagement process | Rio Tinto and Land Commission representatives consult with and engage affected communities & households to present and explain R&C proposals, and to negotiate and finalise individualised R&C agreements | grievances,<br>monitoring and<br>audit |

## Establishing an effective relationship with local and regional communities to mitigate risks













## Resettlement Methodology

## **Key Issues**



Resettlement and Compensation:
Guiding
Principles

Complexity of Land Ownership and Management

Reconciling
Formal and the
Customary Land
Systems

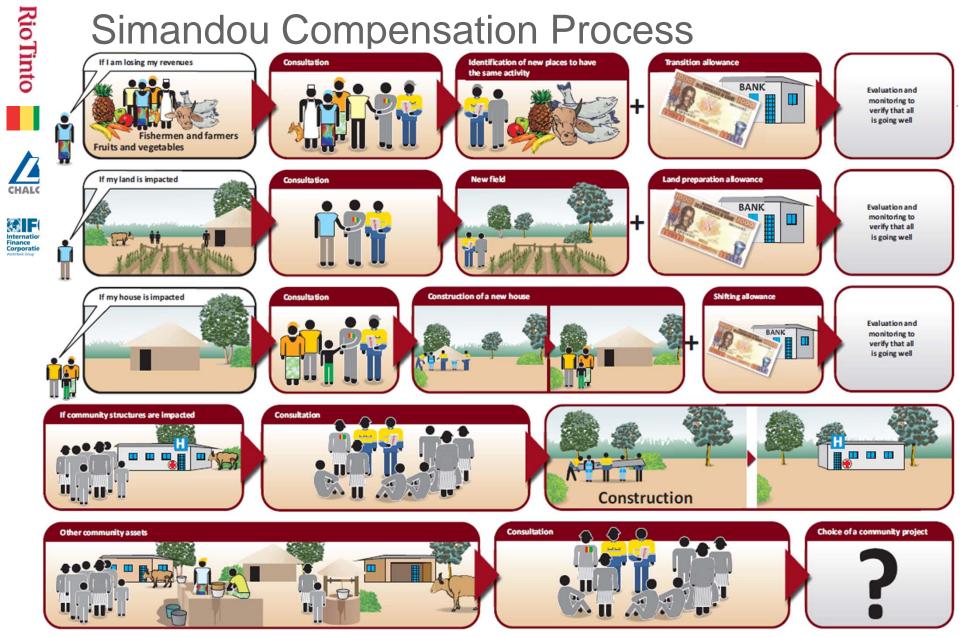
Building Capacity in Government

- IFC Performance Standards
- Rio Tinto Group Policy and Resettlement Guidance
- Law of Guinea
- Land tenure is formally regulated by the French-based Land Right and Domainal Code 1992
- In practice, most rural land is regulated by an unwritten but widely respected collective (lineage)-based customary land ownership and management system
- A key focus of the PARC work has been to provide security of land tenure to Affected Persons under the Code in a way that is harmonious with the collective customary ownership and management system
- In practice relatively little formal land administration infrastructure exists in rural areas
- Government has established Prefectoral-level
   Commissions Foncieres (Land Commissions), Rio Tinto
   has assisted with training and some logistical support

## Resettlement Methodology

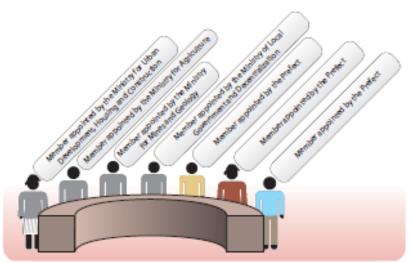


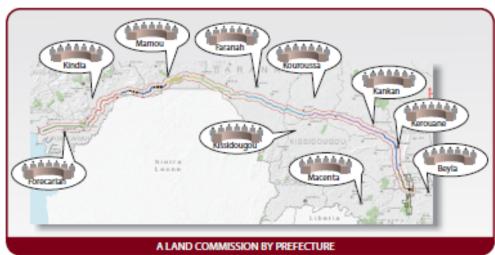
- The Compensation and Resettlement operation is proceeding in accordance with a framework document (PARC Framework) *Projet d'action de reinstallation et* compensation pursuant to IFC Performance Standards, and a Protocol d'Accord with Government of Guinea adapted to the particular circumstances of the proposed port area.
- Rio Tinto has developed separate agreement and certificate templates for physically displaced households; for economically displaced households; and for communities affected by the Project.
- The templates broadly provide for cash compensation for loss of crops and trees; in-kind (replacement) compensation for loss of land and housing; and in kind (community project) compensation at the community level.
- Cash compensation is at rates developed in accordance with IFC Standards and agreed with Government of Guinea.
- Compensation is assessed at the individual level but agreed at the household level. The needs of women and vulnerable groups have been taken into consideration in the compensation program.
- Special attention is being given to preservation of the customary land management system along the Project corridor.



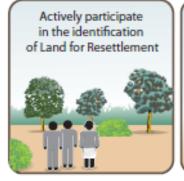
Lineage research helps us understand the complexity of traditional land ownership & management to ensure we compensate the right people for the right land, the right way.

# Government Land Commissions identify & acquire suitable replacement land





#### Main duties of the land commission within the context of the PARK



Participate in consultations and, if necessary, negotiations with the people affected Affirm compliance with the resettlement conditions and sign the resettlement agreements and certificates in the name and on behalf of the State



Monitor implementation of the resettlement process by participating in the regular meetings on process development



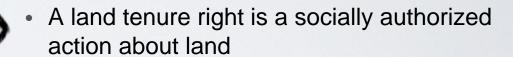
Participate in the system for resolving disputes with a view to managing and minimizing, as far as possible, any potential misunderstandings



## Context

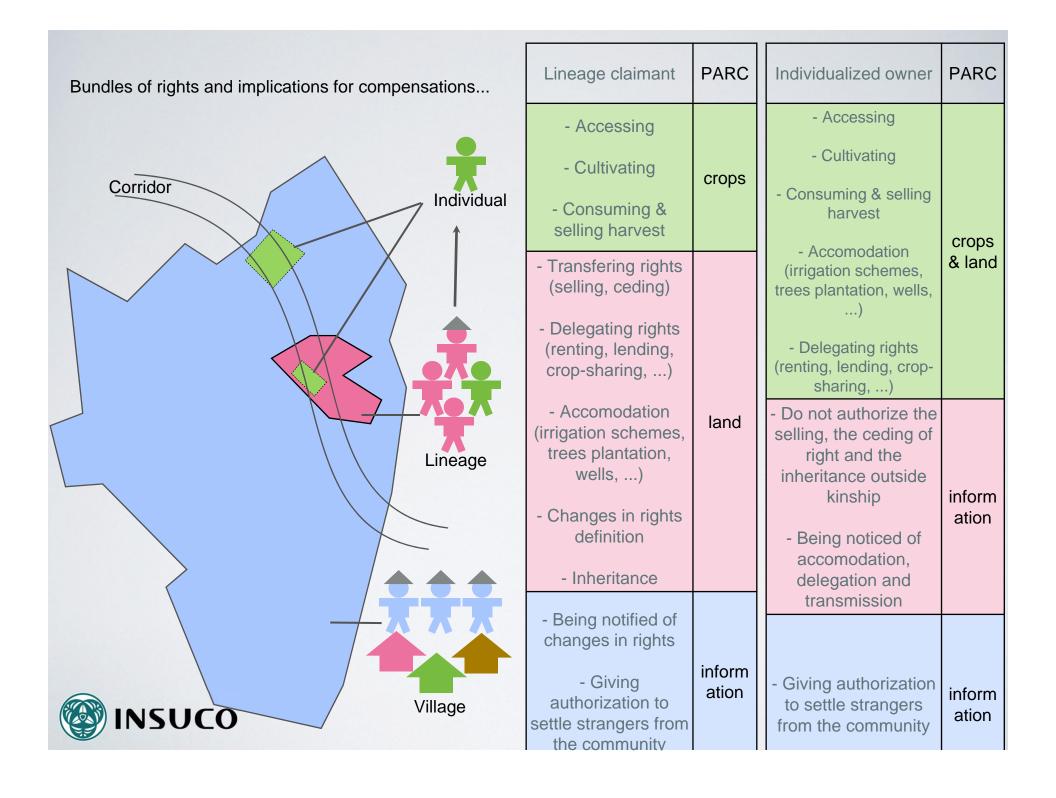
## the bundles of land tenure rights in time

People exercise various actions about land: management, accommodations, transfer of rights, delegation of rights, inheritance, access, exploitation, selling of harvest...



- People hold bundle of land tenure rights
- Custom land tenure rights are embedded into social relations (kinship, religion, politics), then they vary according to local history





## **Objectives**

 Identifying bundles of land tenure rights on impacted plots and lands to replace or compensate them adequately

- Delimiting and naming customary village territories impacted by the corridor
- Identifying local actors with whom Borealis will plot lands impacted by the corridor
- Identifying individuals and groups that have to be compensate according the bundle of land rights they hold on impacted lands
- Identifying the main land tenure issues for each hub



# Methodology in three points

#### 2 types of survey

The corridor crosses 10 to 13 ethnic areas where we suppose land tenure systems are different

- A «case study» in the first village of each ethnic area to define appropriate typologies of land tenure rights
- After the case study, «quick surveys» focused on the corridor and impacted lineages



## Rail corridor: Participatory planning for villages

- Piloted in Nov-Dec 2013 in 2 villages of the Prefecture of Faranah (Guinea)
- Combination of participatory techniques, GIS photointerpretation and GPS land mapping to facilitate the gathering of information essential to resettlement planning and livelihood restoration activities



#### Participatory village map drawing in Hereko



 Participatory village map assists in documenting village residents' perception of land scale and land use

#### Participatory map of Hereko Village Territory



- Participatory map session is an "ice breaker" with community: start building trust and credibility;
- Map is a planning tool for the survey team: used to support / complement GIS photointerpretation and to plan land survey activities;
- Map is also a communication tool: used to discuss/identify/locate land features with community members;
- Map is a restitution tool: used during closure meeting when project 's new understanding of the village territory is shared.

#### 2. Outcomes of the survey

- Main output of the village survey is a "Village Profile Report" summarizing key information about the village including series of maps featuring village land uses, land types, cropped areas, routes of transportation, cultural heritage sites and other points of interest
- Village baseline survey outcomes used as tools for:
  - better management of potential risks to community sustainability;
  - better management of engineering and construction design to mitigate impacts on communities;
  - early stages planning on land acquisition impacts, entitlements, budgets, replacement land strategies and livelihood restoration programming.



#### Image from Hereko Village Profile

#### VILLAGE HISTORY

|                                 |                                   | Summary of village history  |
|---------------------------------|-----------------------------------|---|
| Founding<br>Family              | CAMARA                            | Hereko was founded at the beginning of the 19th century by Fode Gnaidous Camara. At that time, 2 villages- Selen and Tiro, shared control of the zone. With the consent of Oulare Tiro, Fode Gnaidous Camara settled in a hamlet called Kougmanga with his family and a |
| Approximate<br>Founding<br>Date | Early 19 <sup>th</sup><br>century | or a genie, roce camara established the village of nereko (again, with the consent of<br>Oulare Tiro). Two other lineages followed: Faro (via Sankaran Fode Faro, matemal<br>nephew of Fode Camara), and Keira (via Fatouma Lansana Keira, matemal nephew of            |
| Other<br>Lineages               | FARO,<br>KEIRA                    | founder Fode Camara's grandson). The family relationship that bind the ancestors of<br>lineages Faro and Keira to the founder of Héréko has allowed them to obtain rights on<br>farmland in the village.  |

#### NATURAL CAPITAL

|             | Total village territory   |               |         |          | 1210 ha |  |  |
|-------------|---|---------------|---------|----------|---------|--|--|
|             | Natural habitat cover   | 1060 ha / 88% |         |          |         |  |  |
|             | Proportion of population relying on non-renewable energy sources 100% |               |         |          |         |  |  |
|             | Landscape feature   | Unit          | Total   | % of Tot | lal     |  |  |
|             | Bas-fond  | ha            | 13.71   | 1.1%     |         |  |  |
|             | Plaine Alluviale  | ha            | 85.61   | 7.1%     |         |  |  |
| Environment | Coteau  | ha            | 48.11   | 4.0%     |         |  |  |
|             | Bush  | ha            | 1017.02 | 84.0%    |         |  |  |
|             | Forest  | ha            | 43.06   | 3.6%     |         |  |  |
|             | Plantation  | ha            | 0.74    | 0.1%     | .1%     |  |  |
|             | Urban   | ha            | 1.81    | 0.1%     |         |  |  |
|             | Rock  | ha            | 0.08    | 0.0%     |         |  |  |
|             | Streams   | #             | 8       | n/a      |         |  |  |

#### **ECONOMIC CAPITAL**

| Living Standards | Hereko is a subsistence agricultural con<br>Typically, cash transactions are small a<br>for household use, with excess sold at it<br>necessary. Cash, when needed, is also<br>honey. "neft", "carapa", "procera", wild<br>Harvesting and selling of wild products in<br>"crises period"-when food insecurity is<br>and before the following season's crops. | nd verý little household incom<br>he market in Layo Sando (3kn<br>generated by selling product;<br>yam, wood, and boron are all h<br>s practiced especially from Jul<br>heightened-the time after exh | e is spent on fo<br>n) to generate o<br>s from gatherin<br>harvested "wild"<br>ly — September | od. Crops are<br>eash if and when<br>g activities- wild<br>products.<br>r-during the |  |  |
|------------------|---|---|---|--|--|--|
|                  | Total village cultivated land   | 100000000000000000000000000000000000000   | 148 ha  |  |  |  |
|                  | Total village cultivated land (% of village   | territory)  | 12%   |  |  |  |
|                  | "Crises" period   |   | July - Sept   |  |  |  |
|                  | Indicator of food insecurity  | Livestock is exchanged for bags of rice (e.g. 3 bags of ri<br>for a 1 year old bull).   |   |  |  |  |
|                  | Prevalence of food insecurity indicator No residents exchanged livestock for rice.<br>in the year prior to survey   |   |   |  |  |  |
| Economy-         | Population engaged in waged employm   |   | No  |  |  |  |
| Equality,        | Predominant land management system  |   | customary   |  |  |  |
| Employment,      | Number of local micro, small and mediu  |   | 0   |  |  |  |
| Entrepreneurship | Access to financial services (Yes / No)   | No  |   |  |  |  |

#### SOCIAL CAPITAL

| I | Governance | Percentage of population registered | 46% | Participation by gender |  |
|---|------------|-------------------------------------|-----|-------------------------|--|
| ľ |            |                                     |     |                         |  |

|                     | to vote in most recen<br>(SEPT 2013)   |                    |  | M                                 |                        | ×                         | %                         | w  | X%  |
|---------------------|--|--------------------|--|-----------------------------------|------------------------|---------------------------|---------------------------|--|---|
| Social Support      | 5 outside actors: SN<br>the area of communit<br>implemented a land r<br>Ramadan.                             | y development in   | general, ar                            | nd Guine                          | ea Er                  | nergy                     | is doin                   | ? Simferha                                       | s to-date.  |
|                     | # of internal organizations  |                    | 6                                      | Pa                                | rticipa                | ation t                   | ygen                      | der  | 1711111   |
|                     |  |                    | M                                      |                                   | 1                      | 5%                        | W                         | 53%  |   |
| Civic<br>Engagement | Primary activities of organizations  # of participants in survey activities (participation in public forums) |                    | of peanut<br>fishing co<br>carried ou  | s, fishin<br>lective<br>it with m | g, an<br>and t<br>nemb | d hun<br>he me<br>ers fro | ting).<br>n's hu<br>m nei | With respect<br>nting collecti<br>ghboring vills | roes (cultivation<br>to the women's<br>ve, activities are<br>ges as well. |
|                     |  |                    | 62 Part                                |                                   |                        | rticipation by gender     |                           |  |   |
|                     |  |                    |  | M                                 | _                      |                           | 32                        | W  | 30  |
|                     | Name   | Resp.              | Activities                             |                                   | Members                |                           | Founded                   | Area of intervention                             |   |
|                     |  |                    |  |                                   | М                      | W                         | 1                         |  |   |
|                     | Sere Lamine  | Lamine<br>Camera   | Rice, peanuts                          |                                   | 1                      | 9                         | 10                        | 2012   | Seefe   |
| Internal            | Sere Mamadou   | Mamousou<br>Camara | Rice, cassava,<br>labor<br>contractors |                                   | 8                      | 0                         | 8                         | 2009   | Seefe   |
| Organizations       | Sere Sabari  | Fode<br>Fofana     | Rice, pea                              | nuts                              | 1                      | 6                         | 7                         | 2010   | Soulouko  |
|                     | Sere Seko Fano   | Secou Faro         | Rice, pea                              | nuts                              | 1                      | 5                         | 6                         | 2010   | Legbenin  |
|                     | Men's Hunting<br>Association   | Mamoudou<br>Kera   | Hunting                                |                                   | 4                      | 0                         | 4                         |  | Agro pastora<br>land, except<br>sacred sites                              |
|                     | Women's Fishing<br>Association   | Karamma<br>Kera    | Fishing                                |                                   | 0                      | 25                        | 25                        |  | Souloukou,<br>Tamiso, Sée   |

#### PHYSICAL CAPITAL

|                | Feature   | Y/N  | etails   |          |  |  |  |  |
|----------------|---|------|--|----------|--|--|--|--|
| Infrastructure | Electricity   | N    |  |          |  |  |  |  |
|                | Financial Services  | N    |  |          |  |  |  |  |
|                | Health Centre   | N    | Layo Sando (3 km) and Tiro (x km) have health centres.<br>(x km) is the nearest hospital.  |          |  |  |  |  |
|                | Market  | N    | Wednesday market at Layo Sando, 3 km   |          |  |  |  |  |
|                | Mosque  | Y    | mosque, built 10 years ago by a village ressorti   | ssant    |  |  |  |  |
|                | Cellular Network  | Y    | eak Areeba reception.  |          |  |  |  |  |
|                | Potable Water   | Y    | A well built by SNAPPE in 2007.  |          |  |  |  |  |
|                | TV/Radio Reception  | Y    | Reception for station « Radio Rural de Faranah »   |          |  |  |  |  |
|                | Year-round road access  | Y    | dirt road from Layo Sando to Saourou and several paths accessible by motorcycle.           |          |  |  |  |  |
|                | School  | N    | Students walk 3 km to Layo Sando for school.   |          |  |  |  |  |
|                | Soccer Field  | Y    | •  |          |  |  |  |  |
|                | Transportation (public / private means)                                   | Y    | Bush taxis (on market days) and motorcycles.   |          |  |  |  |  |
|                | Video Club  | N    |  |          |  |  |  |  |
|                | Youth House   | N    |  |          |  |  |  |  |
| Community      | Perceptions of safety and security<br>(e.g. women walking alone at night) |      | Within the urbanized area, women and child<br>alone at night. Only men go into the bush at |          |  |  |  |  |
| Safety         | Prevalence / severity of tra<br>accidents                                 | ffic | Missing comment on prevalence of traffic ac  | cidents. |  |  |  |  |

#### Hereko Topography & Points of interest Village Map Laya Doula Laya Sando Safaranin Version préléminaire / Preliminary Version Légende / Legend Topographie / Topography Projection: WGS\_1984\_UTM\_Zone\_29N False\_Easting: \$00000,0000000 False\_Northing: 0,0000000 Central\_Mendlan: -15,0000000 Scale\_Factor: 0,99960000 Héréko ட்ு Limite du village / Village Limit Coteau / Upland 2014-01-24 Ligne rouge / RedLine In frastructure / Infrastructure Bas-fond / Lowland Limite de village / Village Limi Source / Well Plaine / Plain D - 3005 Voie ferrée planifiée / Planned Railway

#### Maps will inform i.e.:

borealis

Hydrographie / Hydrography

Forêt / Forest

Milieu bâti / Settlement

Social impacts management: impacted land (land take and land access), high valued community land, availability of replacement land etc.

▲ Site d'héritage oulturel / Cultural Heritage Site

Mare et marigot / Pond and Backwate

Autre / Other

Échelle / Scale

0 125 250

Topographie & points d'intérêt /

Topography & Interest Points

Engineering and construction design: cultural heritage sites, communities potential crossing points on rail etc.



## **Livelihood Development**

# Livelihood restoration activities are guided by PARC Framework and a detailed site-specific Action Plan

#### **Obligation**



IFC Performance Standard 5

- Legally binding and included in 2011 Settlement Agreement
- Project required to "reinstate livelihoods of economicallyimpacted people, through training, resources and support over a reasonable period to succeed in reestablishing viable and sustainable livelihoods"

#### **Strategy**



#### PARC Framework

- Provides overarching framework for land acquisition, resettlement and compensation across Project footprint
- Approved by GoG and IFC
- In relation to livelihoods, commits Project to assess, restore, and monitor livelihoods that are either land-based, wage-based, enterprise-based and/or fishing-based



#### PMOF/BP33 Resettlement Action Plan

- Specific action plan for resettlement and compensation exercise at port site
- Fixes budget, resources and calendar
- Consulted with impacted individuals
- At port site, affected livelihoods are largely land-based; hence livelihood programmes largely farming-oriented:
  - Rice intensification
  - Solar salt production
  - Market gardening & cassava

# Three programmes have successfully completed their first full growing/harvesting season

#### **Rice**

- 105ha; two sites;
- 48 Project Affected People farming
- New techniques/technologies:
  - Pest-resistent seedlings
  - Transplantation
  - Rotocultivators
  - Water management
- Yields trebled in first year; second season to commence Q4 2014



#### <u>Salt</u>

- 9 salt schools;
- 243 tarpaulins distributed; 78 PAPs
- New techniques/technologies:
  - Solar
  - Reduced labour
  - Reduce mangrove deforestation
  - Positive health impact
- 93,000kg produced in first 2 months







## Market gardening & cassava

- 30ha & 15ha respectively;
- New techniques:
  - Pest-resistant cassava
  - Improved irrigation
  - New techniques
  - Improved inputs
- Significant cash income





#### Location of livelihood restoration sites

Port construction footprint
Rice project
Solar salt project
Market gardening/cassava project

